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Applicant : Jhy-Jyi Sze, Ming-Yi Wang, Junbo Chen
Appl. No. : 10/711,780 Filing Date: 10/05/2004
Examiner : DANG, PHUC T Art Unit : 2818
Docket No.: NAUP0607USA

Title: METHOD OF MANUFACTURING A SELF-ALIGNED GUARD
RING OF A PHOTO DIODE

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 12/19/2005 (Attachment 1). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 12/20/2005 (Attachment 2). But the above-identified application was issued as a patent on 03/07/2006 without publication process (Attachment 3).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/711,780	
	Filing Date	10/05/2004	
	First Named Inventor	Jhy-Jyi Sze	
	Art Unit	2818	
	Examiner Name	DANG, PHUC T	
Total Number of Pages In This Submission	6	Attorney Docket Number	NAUP0607USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of issue fee transmittal submitted on 12/19/2005 2. A copy of deposit account statement on 12/20/2005 3. A copy of application data of app. No. 10/711,780
Remarks Request for refund of publication fee		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	3/28/2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Stephanie Lai</i>		
Typed or printed name	Stephanie Lai	Date	3/28/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Sincerely yours,

Winston Hsu

Date: 03.28.2006

5 Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

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Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Fax (571) 273-2885**

INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

027765 7590 10/26/2006

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P.O. BOX 506
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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Zoe Huang	(Depositor's name)
<i>Zoe Huang</i>	(Signature)
2005/12/19	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/711,780	10/05/2004	Jhy-Jyi Sze	NAUP0607USA	5779

TITLE OF INVENTION: METHOD OF MANUFACTURING A SELF-ALIGNED GUARD RING OF A PHOTO DIODE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	01/26/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
DANG, PHUC T	2818	438-057000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Winston Hsu

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

UNITED MICROELECTRONICS CORP.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

No.3, Li-Hsin Road 2, Science-Based Industrial Park, Hsin-Chu City, Taiwan. R.O.C.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-3105 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Winston Hsu

Date 2005/12/19

Typed or printed name

Winston Hsu

Registration No. 41,526

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Deposit Account Statement

第9頁, 共16頁



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12/20 113	11306198	QSIP0010USA	2311	\$100.00	\$55,654.00
12/20 114	11306198	QSIP0010USA	2111	\$250.00	\$55,404.00
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12/20 195	10709611	ACMP0046USA	1504	\$300.00	\$50,004.00
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12/20 198	10711780	NAUP0607USA	1501	\$1,400.00	\$46,904.00
12/20 199	10711780	NAUP0607USA	1504	\$300.00	\$46,604.00
12/20 200	10605377	LKSP0022USA	1501	\$1,400.00	\$45,204.00
12/20 201	10605377	LKSP0022USA	1504	\$300.00	\$44,904.00
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12/20 208	10707444	NAUP0523USA	1504	\$300.00	\$43,204.00
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12/20 210	10907702	NAUP0520USA1	1504	\$300.00	\$41,504.00
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12/21 5	11306197	OTMP0093USA	1111	\$500.00	\$38,964.00
12/21 6	11306197	OTMP0093USA	1311	\$200.00	\$38,764.00
12/21 111	11306253	EMEP0076USA	4011	\$75.00	\$38,689.00
12/21 112	11306253	EMEP0076USA	2202	\$100.00	\$38,589.00
12/21 113	11306253	EMEP0076USA	8021	\$40.00	\$38,549.00
12/21 114	11306253	EMEP0076USA	2311	\$100.00	\$38,449.00
12/21 115	11306253	EMEP0076USA	2111	\$250.00	\$38,199.00

Printer Friendly

10/711,780

METHOD OF MANUFACTURING A SELF-ALIGNED GUARD RING OF A PHOTO DIODE

Application Data

Application Number:	10/711,780	Customer Number:	-
Filing or 371 (c) Date:	10-05-2004	Status:	Patented Case
Application Type:	Utility	Status Date:	02-15-2006
Examiner Name:	DANG, PHUC T	Location:	ELECTRONIC
Group Art Unit:	2818	Location Date:	-
Confirmation Number:	5779	Earliest Publication No:	-
Attorney Docket Number:	NAUP0607USA	Earliest Publication Date:	-
Class / Subclass:	438/057	Patent Number:	7,008,815
First Named Inventor:	Jhy-Jyi Sze , Tai-Nan City, (TW)	Issue Date of Patent:	03-07-2006
Title of Invention:	METHOD OF MANUFACTURING A SELF-ALIGNED GUARD RING OF A PHOTO DIODE		

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